

Career Employer SERIES 7 Study Plan

Welcome to the Career Employer Series 7 Study Plan PDF which serves to help you plan out your study time to create sustainable and efficient long-term study habits. These four time frames seem to work the best: a 4-week study plan, 3-month study plan, 6-month study plan, and a 1-year study plan.

These comprehensive study plans aim to help with any future study endeavors, even beyond preparing for the Series 7 final exam. Overall, the most important part of learning anything new is consistency and the use of appropriate study materials for your style of learning and timeline.

I highly recommend that you pay attention to which of the study materials work best for you, as there are many ways and study materials you can use to help master all domains of knowledge in the Series 7 certification course. And furthermore, using different study materials and methods keeps learning fun and attractive, and allows you to learn and apply different tactics toward the same goal. Now, let's get to the meat of this whole article, which is the four different study plans offered here on Career Employer!

The Four Career Employer Series 7 Study Plans

- **4-Week Study Plan:** The four-week study plan is ideal for someone that is going to be taking the exam within the next month and needs to cram and/or refresh from their studies in the textbook. Another type of learner could be someone that already has existing certifications in the financial industry and wishes to learn the differences and specifics of Series 7. You will study all of the types of study materials each week and it will feel a bit more crammed if you are unfamiliar with anything regarding the Series 7 exam.
- **3-Month Study Plan:** The three-month study plan is the more typical and, in our opinion, ideal, study plan to emphasize the amount of information learned and the creation of good learning habits. It strikes the perfect balance between those two aspects. It is ideal for someone who has a good amount the time available to study each day and wants to hone in on learning a little bit each day.
- **6-Month Study Plan:** The third option is a six-month plan, which serves a purpose for learners who are very busy and may not have the time for a little bit of studying each and every day. This plan may also be good to follow along with if you are using other outside resources and paid materials, as the practice tests, flashcards, cheat sheet, and free study chapters all are great materials, and it is good to have more than one perspective when studying.

- **1-Year Study Plan:** The final option of the study plan is the one-year study plan, which gives the chance for people to study on their own time and in a more relaxed schedule. It is not as often used, but for someone just learning a new skill or interest on the side, it may be ideal. Some learners may be people who are just extremely busy and can only study a day or two per week.

The 4-Week Study Plan

In this program, a learner will be utilizing all of the main study materials offered on Career Employer each week. The materials utilized are the Study Guide Chapters, Flashcards, Practice Exams/Quizzes, and a Cheat Sheet. There is a lot to take in here, so daily study is necessary and for a significant amount of time too.

4-Week Study Plan	
Week 1	- Start your studies by taking practice exams parts 1 to 5 to get a feel for the information covered in the coursework, study guide, and exam.
	- Cover Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers.
	- After finishing reading Module 1, add the flashcards Seeks Business for Broker-Dealer from Customers and Potential Customers decks 1 and 2 to your studies to check your overall understanding of the material.
	- To further test your knowledge of Module 1 take Domain 1 quizzes 1,2,3, and 4.
	- Review the cheat sheet at the end of the week to locate all of the items from Module 1 that are considered critical for the exam.
Week 2	- Cover Module 2: Starting an Account After Obtaining and Evaluating the Financial Profile and Investment Objectives of a Customer.
	- After finishing reading Module 2, add the flashcards Opens Accounts after Obtaining and Evaluating Customers' Financial Profile and Investment Objectives decks 1,2, and 3 into your studies to check your overall understanding of the material.
	- To further test your knowledge of Module 2, take Domain 2 quizzes 1,2,3, and 4.
	- Review the cheat sheet at the end of the week to locate all of the items from Module 2 that are considered critical for the exam.
Week 3	- Cover Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records.
	- After finishing reading Module 3, add the flashcards Provides Customers with Information about Investments, Makes Recommendations, Transfers Assets and Maintains Appropriate Records decks 1,2, and 3 into your studies to check your overall understanding of the material.
	- To further test your knowledge of Module 3 take Domain 3 quizzes 1,2,3, and 4.
	- Review the cheat sheet at the end of the week to locate all of the items from Module 3 that are considered critical for the exam.
Week 4	- Cover Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed.
	- After finishing reading Module 4, add the flashcards Obtains and Verifies Customers' Purchase and Sales Instructions and Agreements; Processes, Completes, and Confirms Transactions decks 1, 2, and 3 into your studies to check your overall understanding of the material.
	- To further test your knowledge of Module 4 take Domain 4 quizzes 1,2,3 and 4.
	- Review the cheat sheet to locate all of the items from Module 4 that are considered critical for the exam.
	- If you have time, on the final few days, take some (or all of) practice exams parts 1 to 5 again. Note the areas you still struggle with and revise them again.

The 3-Month Study Plan

The three-month study plan is a more typical approach to studying in a generous timeframe, that emphasizes learning the materials and creating optimal study habits. Daily flashcard study and taking quizzes at the right time and revisiting as needed will help learners.

3-Month Study Plan	
Week 1	- Start studying by taking our 5-part practice exam and noting the materials you find most interesting and the questions you got wrong. It is early in the studying process, so do not get discouraged.
	- Read the study hub pages for Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers (Sections 1.1 and 1.2) and add the associated flashcards into a daily study routine.
Week 2	- Read the study hub pages for Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers (Sections 1.3 and 1.4) and add the associated flashcards into a daily study routine.
	- Take quizzes 1, 2, 3, and 4 for Domain 1.
	- Review the cheat sheet section pertaining to Module 1 to highlight information that's likely to appear on the exam.
Week 3	- Read the study hub pages for Module 2: Starting an Account After Obtaining and Evaluating the Financial Profile and Investment Objectives of a Customer (Sections 2.1 and 2.2).
	- Add the associated flashcards into a daily study routine.
Week 4	- Read the study hub pages for Module 2: Starting an Account After Obtaining and Evaluating the Financial Profile and Investment Objectives of a Customer (Sections 2.3 and 2.4).
	- Take quizzes 1, 2, 3, and 4 for Domain 2.
	- Review the cheat sheet section pertaining to Module 2 to highlight information that's likely to appear on the exam.
Week 5	- Read the study hub pages for Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records (Sections 3.1 and 3.2) and add the associated flashcards into a daily study routine.
Week 6	- Read the study hub pages for Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records (Sections 3.3 and 3.4) and add the associated flashcards into a daily study routine.
	- Take quizzes 1, 2, 3, and 4 for Domain 3.

	<ul style="list-style-type: none"> - Review the cheat sheet section pertaining to Module 3 to highlight information that's likely to appear on the exam.
Week 7	<ul style="list-style-type: none"> - Read the study hub pages for Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed (Sections 4.1 and 4.2) and add the associated flashcards into a daily study routine.
Week 8	<ul style="list-style-type: none"> - Take quizzes 1 and 2 for Domain 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed. - Review the cheat sheet section pertaining to Module 4 to highlight information that's likely to appear on the exam.
Week 9	<ul style="list-style-type: none"> - Read the study hub pages for Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed (Sections 4.3 and 4.4) and add the associated flashcards into a daily study routine. - Go through associated flashcards as well as Domain quizzes 3 and 4 to test your knowledge. - Review the cheat sheet section pertaining to Module 4 to highlight information that's likely to appear on the exam.
Week 10	<ul style="list-style-type: none"> - Revise Module 1 and 2 in depth using the cheat sheet to identify critical areas of knowledge for the exam. - Go through associated flashcards as well as domain quizzes to test your knowledge and note areas where you are lacking.
Week 11	<ul style="list-style-type: none"> - Revise Module 3 using the cheat sheet to identify critical areas of knowledge for the exam. - Go through associated flashcards as well as domain quizzes to test your knowledge and note areas where you are lacking.
Week 12	<ul style="list-style-type: none"> - Revise Module 4 using the cheat sheet to identify critical areas of knowledge for the exam. - Continue your daily flashcard studies, while reviewing the cheat sheet and making yourself familiar with it. Go through the areas from each Module where you notice you struggled. - If you have time, go through some practice exams again. Try to take all five if possible.

The 6-Month Study Plan

The six-month study plan is for someone that doesn't have the time to study every single day and wants to learn on the side, while still preparing for the certification exam. The main aspect of this study plan is trying to reach a goal within an extended period. It is more along someone's own timeframe, where they fit studying in for a few days each week, just enough to keep materials fresh and current.

6-Month Study Plan	
Month 1	- Start by taking the practice exam and any domain quizzes, just to get a general idea of the materials and your comfort with them.
	- Read the study hub pages for Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers and add the associated flashcards into a study routine you can manage.
	- Take relevant domain quizzes once you finish, toward the end of the month.
Month 2	- Read the study hub pages for Module 2: Starting an Account After Obtaining and Evaluating the Financial Profile and Investment Objectives of a Customer and add the associated flashcards into a study routine you can manage.
	- Take relevant domain quizzes once you finish, toward the end of the month.
	- Start going through the cheat sheet to highlight the things you have already seen in the text and study hub pages pertaining to Module 1 and 2.
Month 3	- Read the study hub pages for Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records and add the associated flashcards into a study routine you can manage.
	- Take relevant domain quizzes once you finish, toward the end of the month.
	- Continue with the cheat sheet to highlight the things you have already seen in the text and study hub pages pertaining to Module 3.
Month 4	- Read the study hub pages for Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed and add the associated flashcards into a study routine you can manage.
	- Take relevant domain quizzes once you finish, toward the end of the month.
	- Continue with the cheat sheet to highlight the things you have already

	seen in the text and study hub pages pertaining to Module 4.
Month 5	- Revise Modules 1 and 2 using the cheat sheet to focus on areas you know are critical for the exam.
	- Continue to use flashcards to check your overall knowledge regarding these modules.
	- Take practice exams and note areas where you struggle then take the relevant domain quizzes to build your knowledge in those areas.
Month 6	- Revise Modules 3 and 4 using the cheat sheet to focus on areas you know are critical for the exam.
	- Continue to use flashcards to check your overall knowledge regarding these modules.
	- Take practice exams and note areas where you struggle then take the relevant domain quizzes to build your knowledge in those areas.
	- Use the cheat sheet to remind yourself of critical areas that will appear in the exam.

The 1-Year Study Plan

The one-year study plan is for someone that only has time for around 1 or 2 days of study throughout the week.

1-Year Study Plan	
Month 1	- Start by taking the practice exam and any domain quizzes, just to get a general idea of the materials and your comfort with them.
	- Read Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers (Sections 1.1 and 1.2), and try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
Month 2	- Read Module 1: Finding Business Opportunities for Broker-Dealers from both Potential Customers and Current Customers (Sections 1.3 and 1.4), and try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
	- Take quizzes 1,2, 3, and 4 from Domain 1.
Month 3	- Read Module 2: Starting an Account After Obtaining and Evaluating the Financial Profile and Investment Objectives of a Customer. Try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
Month 4	- Take quizzes 1,2,3 and 4 from Domain 2.
	- Start going through the cheat sheet to highlight the things you have already seen in the text and study hub pages pertaining to Modules 1 and 2.
Month 5	- Read Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records (Sections 3.1 and 3.2). Try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
	- Take quizzes 1 and 2 from Domain 3.
Month 6	- Read Module 3: Giving Clients Investment Information, Making Recommendations, Transferring Assets and Keeping Suitable Records (Sections 3.3 and 3.4). Try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
	- Take quizzes 3 and 4 from Domain 3.
Month 7	- Read Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed (Sections 4.1 and 4.2). Try to go through

	<p>the associated chapter flashcards on a semi-daily basis when it is convenient.</p> <ul style="list-style-type: none"> - Take quizzes 1 and 2 from Domain 4.
Month 8	<ul style="list-style-type: none"> - Read Module 4: Customer Purchases and Sales Instructions and Agreements Obtained and Verified; Transactions Processed, Completed, and Confirmed (Sections 4.3 and 4.4). Try to go through the associated chapter flashcards on a semi-daily basis when it is convenient.
	<ul style="list-style-type: none"> - Take quizzes 3 and 4 from Domain 4.
	<ul style="list-style-type: none"> - Continue going through the cheat sheet to highlight the things you have already seen in the text and study hub pages pertaining to Modules 3 and 4.
Month 9	<ul style="list-style-type: none"> - Revise Modules 1 and 2 using the cheat sheet to highlight key exam concepts.
	<ul style="list-style-type: none"> - Take practice exams related to Modules 1 and 2. Note areas where you struggle.
Month 10	<ul style="list-style-type: none"> - Revise Modules 3 and 4 using the cheat sheet to highlight key exam concepts.
	<ul style="list-style-type: none"> - Take practice exams related to Modules 3 and 4. Note areas where you struggle.
Month 11	<ul style="list-style-type: none"> - Re-read the chapters with information that you had trouble with and continue your flashcard studies on a semi-daily basis, especially in those areas.
Month 12	<ul style="list-style-type: none"> - Take the Domain quizzes related to the areas you have trouble with.
	<ul style="list-style-type: none"> - Read through Modules 1,2, 3, and 4 to keep them familiar in your mind.
	<ul style="list-style-type: none"> - Go through and memorize the cheat sheet as you are going through your studies.